

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Iddys D Figueroa et al

Confirmation No.: 3173

Application No.: 10/801381

Examiner:

Filing Date: Mar 15, 2004

Group Art Unit:

Title: APPLICATION OF A BIOACTIVE AGENT TO A DELIVERY SUBSTRATE

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 3/25/05
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Number of pages: _____

Typed Name: W. Bradley Haymond

Signature: W. Bradley Haymond

Respectfully submitted,

Iddys D Figueroa et al

By W. Bradley Haymond

W. Bradley Haymond

Attorney/Agent for Applicant(s)

Reg. No. 35,186

Date: 3/25/05

Telephone No.: 541 715 0159

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/801381
Filing Date	Mar 15, 2004
First Named Inventor	Iddys Figueroa et al
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	200401494-1

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
		EP 1 306 071 A2	05-02-2003	Lee et al		
		WO 03/041690	05-22-2003	Rowe et al		

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/801381
		Filing Date	Mar 15, 2004
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		Art Unit	Unknown
		Examiner Name	Unknown
Sheet 2	of 2	Attorney Docket Number	200401494-1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		W.E. Katstra et al, "Pulsatory Oral Drug Delivery Devices Fabricated by Three Dimensional Printing", Proceed. Int'l Symp. Control. Rel. Bioact. Mater., 26, July 1999	
		W. E. Katstra et al, "Oral Dosage Forms Fabricated by Three Dimensional Printing", Jrnl of Controlled Release, 66, 2000, pp 1-9	

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